C4I Interoperability

Subject Area C4

EWS 2006

C4I Interoperability

Contemporary Issue Paper

Submitted by Capt T. McIntyre-Bray

CG #9, FACAD Major R. F. Revoir

07 February 2006

maintaining the data needed, and c including suggestions for reducing	lection of information is estimated to ompleting and reviewing the collect this burden, to Washington Headqu ald be aware that notwithstanding an DMB control number.	ion of information. Send comments arters Services, Directorate for Info	s regarding this burden estimate ormation Operations and Reports	or any other aspect of the s, 1215 Jefferson Davis	his collection of information, Highway, Suite 1204, Arlington
1. REPORT DATE 07 FEB 2006		2. REPORT TYPE		3. DATES COVERED 00-00-2006 to 00-00-2006	
4. TITLE AND SUBTITLE				5a. CONTRACT NUMBER	
C4I Interoperability				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) United States Marine Corps, Command and Staff College, Marine Corps Combat Develop, Marine Corps University, 2076 South Street, Quantico, VA, 22134-5068				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAIL Approved for publ	ABILITY STATEMENT ic release; distributi	on unlimited			
13. SUPPLEMENTARY NO	TES				
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFIC		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON	
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified	Same as Report (SAR)	13	

Report Documentation Page

Form Approved OMB No. 0704-0188 "Information will become the prominent, if not predominant, part of war to the extent that whole wars may well revolve around seizing or manipulating the enemy's datasphere."

John A. Warden, Colonel, USAF

The United States National Military Strategy states that joint force mission systems are to be "conceptualized and designed with joint architectures and acquisition strategies to ensure that technical, doctrinal and cultural barriers do not limit the ability of joint commanders to achieve objectives." 1 Despite this mandate, military service components continue to acquire, operate, and train with and maintain unique command, control, communications, computers and intelligence (C4I)systems, creating self-induced friction in the operating environment. Mission effectiveness is reduced, operations are slowed, and information from multiple systems is not delivered to the warfighter. A lack of standardized, interoperable systems equipment between organizations creates a disjointed and operational environment for commanders in charge of intra-agency, multi-service, and coalition operations. Although individual service components have made progress in developing advanced C4I systems, the warfighter's requisite for interoperability within

¹ Chairman of the Joint Chiefs of Staff, *The National Military Strategy of the United States of America* (Washington, D.C.: GPO, 2004), 15.

the current expeditionary environment falls short in developing the human factors required for success.

INTEROPERABILITY DEFINED

Joint Publication 1-02 defines interoperability as "ability of systems, units, or forces to provide services to and accept services from other systems, units, or forces and to use the services so exchanged to enable them to operate effectively together." ² The joint doctrinal definition of interoperability encompasses both a technical and an operational capability. The technical interoperability addresses issues of connectivity systems, data exchange, networking, other between and communication related scenarios. Essentially, technical interoperability ends at the system.

Operational interoperability addresses the degree to which value is derived from the technical capability. The strategic requirement for interoperability goes beyond the basic requisite to automate the exchange of information. Operational interoperability adds the user, "to include people and

_

² Joint Publication 1-02, *Department of Defense Dictionary of Military and Associated Terms* (Washington, D.C.: GPO, 2001).

procedures," in the exchange. There must be a suitable focus on procedural and organizational elements, and decision makers at all levels must understand each other's capabilities and constraints. Ultimately, the goal of C4I is to have information move seamlessly within a chain of command, between the service commanders and other organization as required. Lessons learned from recent intra-agency, joint and coalition operations and debates over there degree of success emphasize insufficient operational interoperability despite technological advances.

EXPEDITIONARY OPERATIONS LESSONS LEARNED

OPERATION ALLIED FORCE

Despite unprecedented communications bandwidth and the diversity of services provided during Operation Allied Force, the deficiency of information interoperability created a major problem during both U.S. Joint operations and combined NATO operations. These shortfalls included "the lack of integrated data networks to support dissemination of coalition information, stove-piped databases, and the use of various classification levels to protect the information." ⁴ To mitigate this shortfall

³ Faughn, Anthony W., *Interoperability: Is it Achievable?*, October 2002, http://www.pirp.harvard.edu/pubs_pdf/faughn%5Cfaughn-p02-6.pdf.

⁴ Department of Defense Report to Congress, *Kosovo Operation / Allied Force After Action Report*, (Washington, D.C.: GPO, 2000).

coalition partners established liaison teams that were exchanged along with their respective systems in order to share information. Despite this effort result, strike reaction times were often slow and diminished the coalition's ability to engage time-sensitive targets throughout the conflict.

OPERATION IRAQI FREEDOM

Early in the war in Iraq, the Army, its logisticians and Marines all had different pictures of the battlefield and different because they had different computer systems security standards. "You could instantly see where you might have opportunity for friendly forces fratricide, " said Maj. Gen. Michael Mazzucchi, the commanding general for the Communications-Electronics Life Cycle Management Command officer command, executive for control communications technology during an speech at a Defense News Media Group conference on joint warfare. 5 As an interim solutions, the Army bought Marine systems and vice versa, commanders had to piece together a complete picture by looking at two different screens. This temporary solution was required simply because the services did not build an interoperable tactical workstation initially.

⁵ Lubold, Gordon, *Joint Success Requires All Services to Think About Capabilities Not Program*, Defense News.com, http://www.defensenews.com/promos/conferences/jw/1198511.html.

British, Australian and Polish senior leaders testified before the House Armed Services Committee offering insights into what did and did not work during Operation Iraqi Freedom. The problem of interoperability "was an issue that we thought a great deal about during my time out there last year, " said Maj. Gen. Freddie Viggers, military secretary with the United Kingdom. Maj. Gen. Viggers, who served as the deputy commander Combined Joint Task Force-7, noted that the operation demonstrated the need to pull together the coalition intelligence and information in a much more coordinated way. Lt Gen. Mieczyslaw Cieniuch of the Armed Forces identified the requirement to "achieve common and integrating training before troop deployment" 7 in order to facilitate operational interoperability among twenty different countries using distinctive communications equipment doctrinal procedures for command and

HURRACAINE KATRINA

Time is critical in natural disaster situations, and the ability for emergency personnel to communicate with each other is essential. The interoperability of communications systems will be stressed as all responders try to meet

⁶ House Armed Services Committee, Issue Forum on Stability Operations by the Iraq Coalition, May 2004

⁷ Ibid.

operational requirements. The challenge present is that first responder units, as well as state, federal and DOD supporting agencies, will bring their own organic communications systems to the fight. This myriad of systems will need to cross not only intra-city boundaries but intra-state boundaries as well.

After Hurricane Katrina struck the Gulf Coast, about fifty thousand Army and Air National Guard members were sent to the states battered by the storm. The Guard was joined by more than ten thousand sailors and nineteen ships, 2,400 Marines, and units of the other military services and the Coast Guard. 8 Arrays of other government and agency groups were also on scene in the The combined forces conducted search and area of operations. rescue operations, delivered supplies, provided security and services, and performed countless other medical missions. Reliable communications were required to support command and control capabilities between Joint Task Force Katrina and the other agencies to complete these missions. Unfortunately several of the communications systems were out of date, not interoperable and operationally ineffective.

Lieutenant General H. Steven Blum, chief of the National Guard Bureau (NGB), told a House Defense Appropriations Subcommittee hearing in September that after Hurricane Katrina,

-

⁸ Kauchak, Marty, *Post Storm Static*, Military Information Technology Online, http://www.military-information-technology.com/article.cfm?DocID=1243

guardsmen using legacy radios were unable to talk to their active-duty counterparts who were equipped with more modern communications equipment. 9 Military communicators and first responders continue to look for solutions to remedy interoperability shortfalls and prevent a recurrence of the problems that occurred during the relief and recovery operations for Hurricane Katrina.

The lessons learned revealed give minute examples of the continuing problems with C4I interoperability across the broad spectrum of expeditionary operations the military is charged to support. Today's senior warfighters universally agree that operations are much more integrated today than in previous campaigns but the shortfall continue to deny the exploitation of success. In a testimony before Congress, Lt Gen William Wallance, who commanded the Army's V Corps, which captured Baghdad, said "despite all the incredible products at the disposal of my assault command post, we could not get relevant photos, imagery or joint data down to the soldier level in near real time. The

⁹ Ibid

opportunity to exploit intelligence to our advantage, to the advantage of the fire team in contact, was lost."10

FUNCTIONAL AREAS OF RESPONSIBILITY

Over the past two decades the DOD has instituted several initiatives in response to the shortfalls in C4I interoperability and their impact on future operations. The various organizational changes have promised to improve the prospect of achieving interoperability.

CONGRESS

In 2003, the House Armed Services Subcommittee on Terrorism, Unconventional Capabilities Threats and was given the responsibility for DOD information technology issues, subcommittee has and continues to grapple with a multitude of the department's IT concerns. They include interoperability of various C4I systems, stove-pipe systems, redundancy, as well as capital planning investments in present and future IT systems. While the subcommittee has found some improvements in the department's efforts to streamline its planning IT and acquisition process, there is still much work that needs to be

¹⁰ Saxton, Jim, "C4I Interoperability for Our Warfighters," *Military Information Technology Online Edition, http://www.military-information-technology.com/article.cfm?DocID=348.*

done. Particularly in the joint command and control area, where gaps still exists due to the lack of interoperability between each service's command and control system. 11

UNITED STATES JOINT FORCES COMMAND (USJFCOM)

USJFCOM is tasked to help develop, evaluate, and prioritize the solutions to the interoperability problems plaguing the joint warfighter. At USJFCOM, joint interoperability and integration initiatives continue to deliver materiel and non-materiel solutions to interoperability challenges by working closely with combatant commanders, services, and government agencies to identify and resolve joint warfighting deficiencies.

In late 2004, U.S. Joint Forces Command assumed the role of primary conventional force provider. This landmark change assigned nearly all U.S. conventional forces to Joint Forces Command. The USJCOM scope of responsibility includes training. The key to enabling full interoperability is enabling the people and systems to work together in joint training. Joint training exercises are infrequent and each exercise involves operations with different equipment based on the units that are available and tasked to train together.

Farrell, Lawrence, "Progressing Toward a Net-Centric Force," National Defense Magazine, http://www.ndia.org/Content/NavigationMenu/Resources1/Presidents_ Corner2/September_2003.htm, September 2003.

UNITED STATES NORTHERN COMMAND (USNORTHCOM)

Planning support domestic disasters is one of the tasks of USNORTHCOM and its subordinate task force Joint Task Force Civil Support (JTF-CS). 12 JTF-Cass's mission is to plan and integrate the defense support to the designated primary federal agency for domestic chemical, biological, radiological, nuclear or high-yield explosive consequence management operations. JTF-CS is a 160-person standing joint task force stationed at Fort Monroe, VA. One of the tasks of the director of command, control, communications and computer systems, JTF-CS/J6, is to plan how the responding Department of Defense forces will communicate with all first responding forces in order to support their efforts.

CONCLUSION

As technological advances continue to occur at the service component level, joint interoperability initiatives have been instituted to enhance management oversight, to provide vision, to highlight major shortfalls, to test systems and, to showcase enhancement. However, these measures have not eliminated the problem completely. Major challenges continue to continue as the military is engaged in continuous complex involving joint,

_

¹² Lefante, Babette, *Disaster Communications*, Military Information Technology Online, http://www.military-information-technology.com/article.cfm?DocID=1244

multi-national and intra-agency operations. The overall effectiveness of multinational operations is significantly dependent on interoperability between organizations, processes, and technologies.

Bibliography

- 1. Chairman of the Joint Chiefs of Staff, The National Military Strategy of the United States of America (Washington, D.C.: GPO, 2004), 15.
- 2. Department of Defense Report to Congress, Kosovo Operation /Allied Force After Action Report, (Washington, D.C.: GPO, 2000).
- 3. Farrell, Lawrence, "Progressing Toward a Net-Centric Force," National Defense Mazine, http://www.ndia.org/ Content/NavigationMenu/Resources1/Presidents_ Corner2/September_2003.htm, September 2003.
- 4. Faughn, Anthony W., Interoperability: Is it Achievable?, October 2002, http://www.pirp.harvard.edu/pubs_pdf /faughn%5Cfaughn-p02-6.pdf.
- 5. House Armed Services Committee, Issue Forum on Stability Operations by the Iraq Coalition, May 2004
- 6. Joint Publication 1-02, Department of Defense Dictionary of Military and Associated Terms (Washington, D.C.: GPO, 2001).
- 7. Kauchak, Marty, *Post Storm Static*, Military Information Technology Online, http://www.military-information-technology.com/article.cfm?DocID=1243
- 8. Lefante, Babette, *Disaster Communications*, Military Information Technology Online, http://www.military-information-technology.com/article.cfm?DocID=1244
- 9. Lubold, Gordon, Joint Success Requires All Services to Think About Capabilities Not Program, Defense News.com, http://www.defensenews.com/promos/conferences/jw/11985 11.html.
- 10. Saxton, Jim, "C4I Interoperability for Our Warfighters," Military Information Technology Online Edition, http://www.military-information technology.com/article.cfm?DocID = 348.